## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL						
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0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

						or Section 30(n)			oompuny		51 1340								
1. Name and Address of Reporting Person <sup>*</sup> Control Empresarial de Capitales S.A. de C.V.				2. Issuer Name and Ticker or Trading Symbol <u>PROKIDNEY CORP.</u> [PROK]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
<u>Control Empresarial de Capitales S.A. de C.V.</u>								-					Director		Х	10% Ov	vner		
(Last) SEE RE	Last) (First) (Middle) SEE REMARKS				3. Date of Earliest Transaction (Month/Day/Year) 07/11/2022								Officer (give title Other (specify below) below)						
(Street)				4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person							
,														Form filed by More than One Reporting Person					
(City)	(	(State)	(Zip)											1 onn nica	by More	than or		ig i cisoli	
			Table I - No	n-Der	ivativ	/e Securitie	s Ao	cquired, D	ispose	d of	f, or Be	neficial	lly Ow	ned					
Da				Date	nsactio h/Day/\	(Execution Year) if any	2A. Deemed Execution Date, if any (Month/Day/Yea		e, Transaction Dispose Code (Instr.		ties Acqui d Of (D) (In	red (A) or istr. 3, 4 an	·	Beneficially O Following Rep		6. Own Form: I or Indir (Instr. 4	Direct (D) ect (I)	7. Nature of Indirect Beneficial Ownership	
								Code	V Amo	ount	(A) (D)	or Pric	ce	Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
			Table II -			Securities , calls, warr							/ Own	ed					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) of Disposed of (D (Instr. 3, 4 and	)	6. Date Exercisable and Expiration Date (Month/Day/Year)			Securities	d Amount 9 Underlyin 9 Security (	g Derivative		9. Number of derivative Securities Beneficially Owned Following		10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership t (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expirati Date		Title	Amount of Number of Shares			Reporte Transac (Instr. 4	ction(s)			
Class B Ordinary Shares	(1)(2)	07/11/2022		A		63,118,645 <sup>(3)</sup>		(3)	(3)		Class A Ordinary Shares	63,118,	,645 <sup>(3)</sup>	\$0	63,118	,645 <sup>(3)</sup>	D		
Common Units in ProKidney LP	(4)(5)	07/11/2022		A		63,118,645 <sup>(5)</sup>		(5)	(5)		Class A Ordinary Shares	63,118,	,645 <sup>(5)</sup>	\$0	63,118	8,645 <sup>(5)</sup> D			
Earnout Rights	(6)	07/11/2022		A		6,999,999 <sup>(6)(7)</sup>		(7)	(7)		Class A Ordinary Shares	6,999,9	<b>99</b> (6)(7)	\$0	6,999	9,999	D		

## Explanation of Responses:

1. Each Class B Ordinary Share has no economic rights but entitles the reporting person to vote on all matters on which shareholders of the issuer vote and may, at the election of the reporting person and subject to the limitations set forth in the Exchange Agreement and the Second Amended and Restated Company Partnership Agreement (each as defined and as described in the issuer's Definitive Proxy Statement filed with the Securities and Exchange Commission on June 10, 2022 (the "Merger Proxy")), be exchanged, together with the paired Common Unit in ProKidney LLP ("ProKidney LLP") (a "Common Unit"), for a Class A Ordinary Share on a one-for-one basis.

2. The reporting person has executed a voting agreement pursuant to which through July 11, 2025, the reporting person will vote all Ordinary Shares beneficially held by it in a manner proportionate to the manner in which all other Class B Ordinary Shares not held by the reporting person are voted, solely with respect to the election, appointment or removal of any director to the issuer's board of directors.

3. 61,118,645 of the Class B Ordinary Shares were received pursuant to the terms of the Business Combination Agreement in exchange for historical interests held by the reporting person in ProKidney LP and 2,000,000 of the Class B Ordinary Shares were acquired at the closing of the transactions (the "Closing") contemplated by the Business Combination Agreement by and between the issuer and ProKidney, dated as of January 18, 2022 (the "Business Combination Agreement"), pursuant to a subscription agreement between the reporting person and the issuer as part of the PIPE Investment (as defined in the Merger Proxy) for a per share price of \$10. The Class B Ordinary Shares do not expire.

4. Each Common Unit may, at the election of the reporting person and subject to the limitations set forth in the Exchange Agreement and the Second Amended and Restated Company Partnership Agreement, be exchanged, together with the paired Class B Ordinary Share, into a Class A Ordinary Share on a one-for-one basis.

5. 61,118,645 of the Common Units were received pursuant to the terms of the Business Combination Agreement in exchange for historical interests held by the reporting person in ProKidney and 2,000,000 of the Common Units were acquired at the Closing pursuant to a subscription agreement between the reporting person and the issuer as part of the PIPE Investment for a per unit price of \$10. The Common Units do not expire.

6. The Earnout Rights were received pursuant to the terms of the Business Combination Agreement. Pursuant to the earnout provisions of the Business Combination Agreement, the reporting person is entitled to receive earnout of the Earload reported plasma were reported plasma to the Earload Schrödinger Schröding Portson of the Earload Portson of the Earload Reported plasma for the Earload Report Repo

7. One-third of the Earnout Rights will vest upon the volume weighted average price ("VWAP") of a Class A Ordinary Share reaching \$15.00 per share for any 20 trading days over any consecutive 30 day trading period, an additional one-third of the Earnout Rights will vest upon the VWAP of a Class A Ordinary Share reaching \$20.00 per share for any 20 trading days over any consecutive 30 day trading period and the final one-third of the Earnout Rights will vest upon the VWAP of a Class A Ordinary Share reaching \$20.00 per share for any 20 trading days over any consecutive 30 day trading period and the final one-third of the Earnout Rights will vest upon the VWAP of a Class A Ordinary Share reaching \$25.00 per share for any 20 trading days over any consecutive 30 day trading period, in each case, such share price milestone must be achieved ust be achieved by the fifth anniversary of the Closing.

## Remarks:

Full address: Paseo de las Palmas 781, Piso 3, Colonia Lomas de Chapultepec, III Seccion, Miguel Hidalgo, Mexico, Mexico 11000

<u>/s/ Armando Ibanez, Attorney-in-</u> Fact	07/13/2022
** Signature of Reporting Person	Date

ature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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